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Internal Assessment Test 1 – September 2017

Sub:	Design and Analysis of Algorithms					Code:	16MCA33	
Date:	20-09-2017	Duration:	90 mins	Max Marks:	50	Sem:	III	
							Branch:	MCA

All Questions carry 10 marks each. Answer any five of the following

5 x 10 = 50 Marks

Q1. Justify $t_1(n) \in O(g_1(n))$ and $t_2(n) \in O(g_2(n))$ then $t_1(n) + t_2(n) \in O(\max((g_1(n), g_2(n))))$

Sol: Proof of $a_1 + a_2 < 2 \max\{b_1, b_2\}$ - 4M
Main proof - 6M

PROOF We use the following simple fact about four arbitrary real numbers $a_1, b_1, a_2,$ and b_2 : if $a_1 < b_1$ and $a_2 < b_2$ then $a_1 + a_2 < 2 \max\{b_1, b_2\}$.)

This can be proved as follows: adding the two inequalities we get:

$$a_1 + a_2 < b_1 + b_2. \quad (1)$$

Without loss of generality, let $b_1 \geq b_2$. In such a case $\max(b_1, b_2) = b_1$. The inequality (1) becomes

$$a_1 + a_2 < b_1 + b_2 \leq b_1 + b_1 = 2 * b_1 = 2 \max(b_1, b_2).$$

This proved the above fact.

To prove the main theorem:

Since $t_1(n) \in O(g_1(n))$, there exist some constant c and some nonnegative integer n_1 such that

$$t_1(n) < c_1 g_1(n) \text{ for all } n > n_1 \quad (\text{According to the definition of } O)$$

Since $t_2(n) \in O(g_2(n))$,

$$t_2(n) < c_2 g_2(n) \text{ for all } n > n_2. \quad (\text{According to the definition of } O)$$

Let us denote $c_3 = \max\{c_1, c_2\}$ and consider $n > \max\{n_1, n_2\}$ so that we can use both inequalities.

Adding the two inequalities above yields the following:

$$t_1(n) + t_2(n) < c_1 g_1(n) + c_2 g_2(n)$$

$$< c_3 g_1(n) + c_3 g_2(n) = c_3 [g_1(n) + g_2(n)]$$

$$< c_3 2 \max\{g_1(n), g_2(n)\}. \quad (\text{According to the fact proved above}).$$

Hence, $t_1(n) + t_2(n) \in O(\max\{g_1(n), g_2(n)\})$ (Definition of O)

Q2. Explain the methods to analyze recursive and non-recursive algorithms with examples.

Sol: Non Recursive : General Method - 2M, Generic Pseudocode - 2M, Example - 1M

Recursive : General Method - 2M, Generic Pseudocode - 2M, Example - 1M

General Plan for Analyzing Efficiency of Nonrecursive Algorithms

1. Decide on a parameter (or parameters) indicating an input's size.
2. Identify the algorithm's basic operation. (As a rule, it is located in its innermost loop.)
3. Check whether the number of times the basic operation is executed depends only on the size of an input. If it also depends on some additional property, the worst-case, average-case, and, if necessary, best-case efficiencies have to be investigated separately.
4. Set up a sum expressing the number of times the algorithm's basic operation is executed.
5. Using standard formulas and rules of sum manipulation either find a closed-form formula for the count or, at the very least, establish its order of growth.

For example Consider the **element uniqueness problem**: check whether all the elements in a given array are distinct. This problem can be solved by the following straightforward algorithm.

ALGORITHM UniqueElements(A[0..n - 1])

```
//Checks whether all the elements in a given array are distinct
//Input: An array A[0..n - 1]
//Output: Returns "true" if all the elements in A are distinct
// and "false" otherwise.
for i ← 0 to n - 2 do
    for j' ← i + 1 to n - 1 do
        if A[i] = A[j]
            return false
return true
```

Since the innermost loop contains a single operation (the comparison of two elements), we should consider it as the algorithm's basic operation. There are two kinds of worst-case inputs (inputs for which the algorithm does not exit the loop prematurely): arrays with no equal elements and arrays in which the last two elements are the only pair of equal elements. For such inputs, one comparison is made for each repetition of the innermost loop, i.e., for each value of the loop's variable j between its limits i + 1 and n - 1; and this is repeated for each value of the outer loop, i.e., for each value of the loop's variable i between its limits 0 and n - 2. Accordingly, we get:

$$C_{\text{worst}}(n) = \sum_{i=0}^{n-2} \sum_{j=i+1}^{n-1} 1 = \sum_{i=0}^{n-2} [(n-1) - (i+1) + 1] = \sum_{i=0}^{n-2} (n-1-i)$$

$$= \sum_{i=0}^{n-2} (n-1) - \sum_{i=0}^{n-2} i = (n-1) \sum_{i=0}^{n-2} 1 - [(n-2)(n-1)]/2$$

$$= (n-1)^2 - [(n-2)(n-1)]/2 = [(n-1)n]/2 \approx \frac{1}{2} n^2 \in \Theta(n^2)$$

A General Plan for Analyzing Efficiency of Recursive Algorithms :

1. Decide on a parameter (or parameters) indicating an input's size.
2. Identify the algorithm's basic operation.

3. Check whether the number of times the basic operation is executed can vary on different inputs of the same size; if it can, the worst-case, average-case, and best-case efficiencies must be investigated separately.

4. Set up a recurrence relation, with an appropriate initial condition, for the number of times the basic operation is executed.

5. Solve the recurrence or at least ascertain the order of growth of its solution.

For example: consider the recursive algorithm for finding factorial of a number

ALGORITHM F(n)

```
// Computes n! recursively
// Input: A nonnegative integer n
// Output: The value of n!
If n = 0 return 1
else return F(n - 1) * n
```

The basic operation is the multiplication which is performed once. There is one subproblem generated which is of size n-1, where n is the size of the original problem. Thus if T(n) is the time to execute F(n) then the recurrence relation can be set up as

$$T(n) = \begin{cases} T(n-1)+1, & \text{if } n \geq 1 \\ 1 & , \text{ if } n=0 \end{cases}$$

Solving this through back substitution:

$$T(n) = T(n-1)+1 = T(n-2)+1+1 = T(n-2)+2 = T(n-3)+1+2 = T(n-3)+3 \dots T(n-i)+i$$

The argument n-i will become zero when n=i. Substituting this value in the equation above:

$$T(n) = T(0)+n=1+n \quad (\text{Since } T(0) = 1)$$

Thus $T(n) = \theta(n)$

Q3. Explain and write algorithm for the brute force string matching process and analyze it.

Sol: Algorithm - 4M, Explanation - 2M, Analysis - 4M

Algorithm Brute Force string match (T[0..n-1], P[0..m-1])

// Input: An array T [0..n-1] of n chars, text

// An array P [0..m-1] of m chars, a pattern.

// Output: The position of the first character in the text that starts the first

// matching substring if the search is successful and -1 otherwise.

```

for i ← 0 to n-m do
  j ← 0
  while j < m and P[j] = T[i+j] do
    j ← j+1
  if j = m return i
return -1

```

The time complexity would be analyzed by finding the number of times the basic operation $j=j+1$ is executed. The inner loop will be executed a maximum of m times ($j=0$ to $m-1$). Therefore $T(n) = \sum_{i=0}^{n-m} \sum_{j=0}^{m-1} 1 = \sum_{i=0}^{n-m} m = (n-m)*m = \theta(mn)$. Where m is the length of pattern and n is the length of text.

Q4. Describe an efficient method to multiply two $n \times n$ matrices. Analyze the method.

Sol: Explanation of method - 6M. Analysis - 4M

Strassen's matrix multiplication method is an efficient method for multiplying two matrices. Let A and B be two square matrices of dimension $n \times n$. According to Strassen's formula's the product of two $n \times n$ matrixes are obtained as:

$$\begin{bmatrix} m_1 + m_4 - m_5 + m_7 & m_3 + m_5 & \\ m_2 + m_4 & m_1 + m_3 - m_2 + m_6 & \end{bmatrix}$$

Where,

$$m_1 = (a_{00} + a_{11}) * (b_{00} + b_{11})$$

$$m_2 = (a_{10} + a_{11}) * b_{00}$$

$$m_3 = a_{00} * (b_{01} - b_{11})$$

$$m_4 = a_{11} * (b_{10} - b_{00})$$

$$m_5 = (a_{00} + a_{01}) * b_{11}$$

$$m_6 = (a_{10} - a_{00}) * (b_{00} + b_{01})$$

$$m_7 = (a_{01} - a_{11}) * (b_{10} + b_{11})$$

Thus, to multiply two 2-by-2 matrixes, Strassen's algorithm requires seven multiplications and 18 additions / subtractions, where as the brute-force algorithm requires eight multiplications and 4 additions. Let A and B be two n -by- n matrixes when n is a power of two. (If not, pad the rows and columns with zeroes). We can divide A , B and their product C into four $n/2$ by $n/2$ sub matrixes as follows:

$$\begin{bmatrix} C_{00} & C_{01} \\ C_{10} & C_{11} \end{bmatrix} = \begin{bmatrix} a_{00} & a_{01} \\ a_{10} & a_{11} \end{bmatrix} * \begin{bmatrix} b_{00} & b_{01} \\ b_{10} & b_{11} \end{bmatrix}$$

Analysis:

The efficiency of this algorithm, $M(n)$ is the number of multiplications in multiplying two n by n matrices according to Strassen's algorithm. The recurrence relation is as follows:

$$M(n) = 7M(n/2) \text{ for } n > 1, M(1) = 1$$

Solving it by backward substitutions for $n=2^k$ yields.

$$M(2^k) = 7M(2^{k-1}) = 7[7M(2^{k-2})] = 7^2M(2^{k-2}) \\ = \dots 7^i M(2^{k-i}) = \dots 7^k M(2^{k-k}) = 7^k$$

Since $k = \log_2 n$,

$$M(n) = 7^{\log_2 n} \\ = n^{\log_2 7} \\ \approx n^{2.807}$$

which is smaller than n^3 required by Brute force algorithm.

Since this saving is obtained by increasing the number of additions, $A(n)$ has to be checked for obtaining the number of additions. To multiply two matrixes of order $n > 1$, the algorithm needs to multiply seven matrixes of order $n/2$ and make 18 additions of matrixes of size $n/2$; when $n=1$, no additions are made since two numbers are simply multiplied.

The recurrence relation is

$$A(n) = 7A(n/2) + 18(n/2)^2 \text{ for } n > 1 \\ A(1) = 0$$

This can be deduced based on Master's Theorem, as $A(n) \in \Theta(n^{\log_2 7})$. In other words, the number of additions has the same order of growth as the number of multiplications. Thus in Strassen's algorithm it is $\Theta(n^{2.8})$, which is better than $\Theta(n^3)$ of brute force.

Q5. Write and explain the mergesort algorithm using divide and conquer. Also analyze its worst case time efficiency using recurrence relations.

Sol: **Algorithm - 4M, Explanation - 2M Analysis - 4M**

The pseudocode for Merge sort is as follows:

```
Algorithm merge(arr,l,mid, u)
    Create a temporary array C[0..u]
    i<-- l
    j <-- mid+1
    k <-- l // index into temporary array
    while i <=mid and j <=u
        if arr[i] <= arr[j]
```

```

        C[k] <-- arr[i]
        i <-- i+1
    else
        C[k] <-- arr[j]
        j <-- j+1
    k <-- k+1

//copying rest of elements from first subarray
while i<=mid
    C[k] <-- arr[i]
    i <-- i+1
    k <-- k+1

//copying rest of elements from second subarray
while j<=u
    C[k] <-- arr[j]
    j <-- j+1
    k <-- k+1

// copying all elements from temp array to original array
for i in l to u
    arr[i] <-- C[i]

```

```

Algorithm mergesort(arr,l,u)
// only do it if the array contains atleast 2 elements
if l < u
    mid = (l+u)/2
    mergesort(A,l,mid)
    mergesort(A,mid+1,u)
    Merge(A,l,mid,u)

```

Analysis

We first analyse the merge function used for mergesort. We notice that to merge an array with n elements at every step(in the first three loops) anelement is always copied to the temporary array C . Since there are n elements to be copied the number of operations in the first three loops is n . Similarly in the last loop when the elements are copied from temporary array to the original array(arr) there are again " n " copies. Thus the total number of copy operations in the algorithm merge is $O(n)$.

Analyzing the mergesort algorithm we find that each call involves two recursive calls to quicksort with the problem size half and a call to merge which takes $O(n)$ time . Thus the recurrence can be wrtitten as:
 $T(n) = 2 T(n/2)+cn$.

Applying the master's method, $a=2$, $b=2$ and $d=1$. Thus $a=b^d$ and thus case 2 of Master's method applies. Thus $T(n) = O(n \lg n)$.

Q6. Explain the various asymptotic notations.

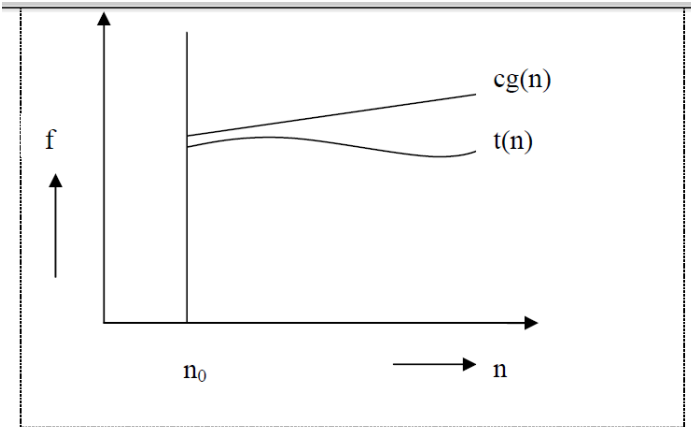
Sol: Definition of Theta, Omega and Big Oh - 1 M each = 3M

Graph of Theta - 2M, Omega and Big Oh - 1 M each = 4M

Examples of Theta, Omega and Big Oh - 1 M each = 3M

Sol: Definition: A function $t(n)$ is said to be in $O[g(n)]$. Denoted $t(n) \in O[g(n)]$, if $t(n)$ is bounded above by some constant multiple of $g(n)$ for all large n i.e., there exist some positive constant c and some non negative integer n_0 such that $t(n) \leq cg(n)$ for all $n \geq n_0$.

Eg. $100n+5 \in O(n^2)$

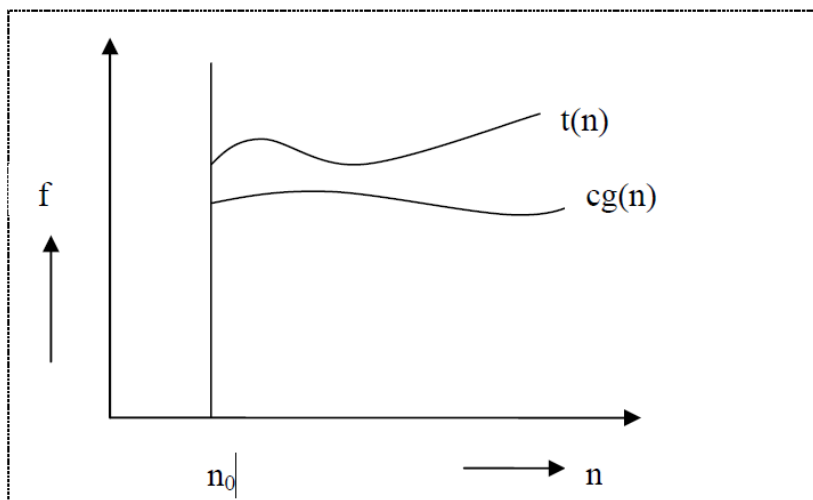


Ω -Notation:

Definition: A fn $t(n)$ is said to be in $\Omega[g(n)]$, denoted $t(n) \in \Omega[g(n)]$, if $t(n)$ is bounded below by some positive constant multiple of $g(n)$ for all large n , i.e., there exist some positive constant c and some non negative integer n_0 s.t.

$t(n) \geq cg(n)$ for all $n \geq n_0$.

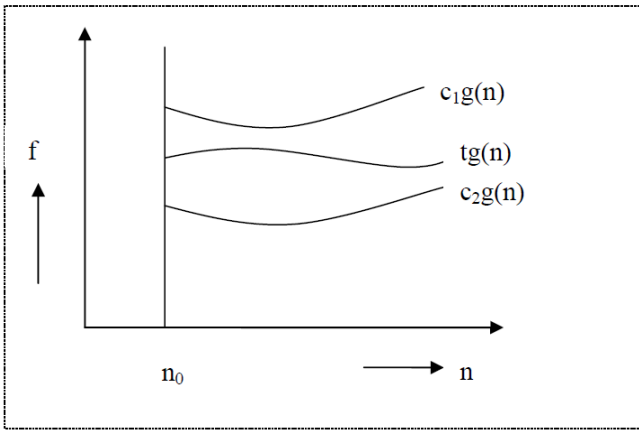
For example: $n^3 \in \Omega(n^2)$, Proof is $n^3 \geq n^2$ for all $n \geq n_0$. i.e., we can select $c=1$ and $n_0=0$.



θ - Notation:

Definition: A function $t(n)$ is said to be in $\theta [g(n)]$, denoted $t(n) \in \theta (g(n))$, if $t(n)$ is bounded both above and below by some positive constant multiples of $g(n)$ for all large n , i.e., if there exist some positive constant c_1 and c_2 and some nonnegative integer n_0 such that $c_2g(n) \leq t(n) \leq c_1g(n)$ for all $n \geq n_0$.

For example: $n^2 \in \theta(n^2+4n+1)$, Proof is $7n^2 \geq n^2+4n+1$ for all $n \geq 0$. i.e., we can select $c=7$ and $n_0=0$.



Q7.(a) Solve the following recurrence relations:

(i) $T(n) = 4T(n/2) + n^3$, (b) $T(n) = 5T(n/3) + n$

Sol: Using Master's method

(i) $T(n) = O(n^3)$ - Case I ii) $T(n) = O(n^{1.46})$ - Case III

(b) Compare the order of growth of $(1/2)n(n-1)$ and n^2 using limits.

Sol: Using limits for comparing growth of functions we recall that:

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \begin{cases} 0, & \text{if } f(n) \text{ has a rate of growth less than } g(n) \\ c, & \text{a constant if } f(n) \text{ and } g(n) \text{ have the same rate of growth} \\ \infty, & \text{if } f(n) \text{ has a rate of growth greater than } g(n) \end{cases}$$

Finding the limit

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \lim_{n \rightarrow \infty} \frac{1^{n(n-1)}}{2^{n^2}}$$

We notice that

$$\lim_{n \rightarrow \infty} \frac{1^{n(n-1)}}{2^{n^2}} = \lim_{n \rightarrow \infty} 0.5^{n(n-1)}$$

Since $0.5 < 1$, hence if we raise it to higher and higher powers it would keep getting smaller and smaller. Hence

$$\lim_{n \rightarrow \infty} 0.5^{n(n-1)} = 0$$

Similarly considering the denominator, $\lim_{n \rightarrow \infty} n^2$ will be equal to ∞ .

$$\text{Hence } \lim_{n \rightarrow \infty} \frac{1^{n(n-1)}}{2^{n^2}} = \frac{0}{\infty} = 0$$

(c) Draw a diagram to show various stages of the algorithm design and analysis process

Sol:

