

Erosion
 Let A and B as sets in Z^2 , the erosion of A by B , denoted $A \ominus B$, is defined as

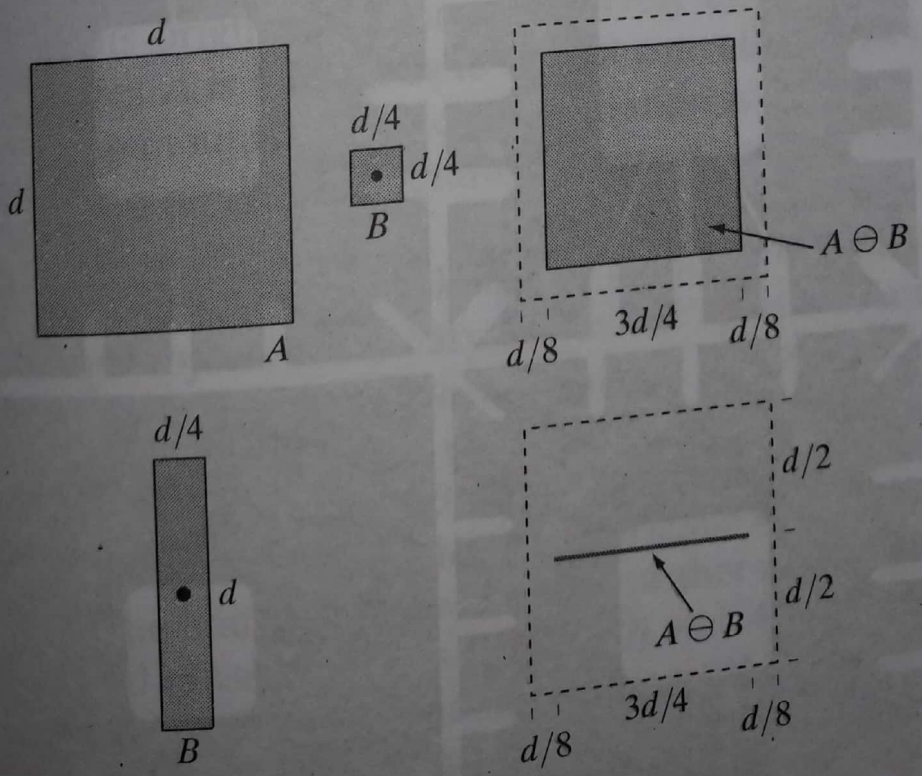
$$A \ominus B = \{z | (B)_z \subseteq A\} \tag{9.2-1}$$

In other words, this equation indicates that the erosion of A by B is the set of all points z such that B , translated by z , is contained in A . In the following discussion, set B is assumed to be a structuring element. Equation (9.2-1) is the mathematical formulation of the example in Fig. 9.3(e), discussed at the end of the last section. Because the statement that B has to be contained in A is equivalent to B not sharing any common elements with the background, we can express erosion in the following equivalent form:

$$A \ominus B = \{z | (B)_z \cap A^c = \emptyset\} \tag{9.2-2}$$

where, as defined in Section 2.6.4, A^c is the complement of A and \emptyset is the empty set.

Figure 9.4 shows an example of erosion. The elements of A and B are shown shaded and the background is white. The solid boundary in Fig. 9.4(c) shows the limit beyond which further displacements of the origin of B would cause the structuring element to cease being completely contained in A . Thus, the locus of points (locations of the origin of B) within (and including) this boundary, constitutes the erosion of A by B . We show the erosion shaded in Fig. 9.4(c). Keep in mind that that erosion is simply the set of



We see from this example that erosion shrinks or thins objects in a binary image. In fact, we can view erosion as a *morphological filtering* operation which image details smaller than the structuring element are filtered (removed) from the image. In Fig. 9.5, erosion performed the function of a *line filter*." We return to the concept of a morphological filter in Sections 9.6.1 and 9.6.3. ■

9.2.2 Dilation

With A and B as sets in Z^2 , the *dilation* of A by B , denoted $A \oplus B$, is defined as

$$A \oplus B = \{z | (\hat{B})_z \cap A \neq \emptyset\} \quad (9.2-3)$$

This equation is based on reflecting B about its origin, and shifting this reflection by z (see Fig. 9.1). The dilation of A by B then is the set of all displacements, z , such that \hat{B} and A overlap by at least one element. Based on this interpretation, Eq. (9.2-3) can be written equivalently as

$$A \oplus B = \{z | [(\hat{B})_z \cap A] \subseteq A\} \quad (9.2-4)$$

As before, we assume that B is a structuring element and A is the set (image objects) to be dilated.

Equations (9.2-3) and (9.2-4) are not the only definitions of dilation currently in use (see Problems 9.11 and 9.12 for two different, yet equivalent, definitions). However, the preceding definitions have a distinct advantage over other formulations in that they are more intuitive when the structuring element B is viewed as a convolution mask. The basic process of flipping (rotating) B about its origin and then successively displacing it so that it slides over set (image) A is analogous to spatial convolution, as introduced in Section 3.4.2. Keep in mind, however, that dilation is based on set operations and therefore is a nonlinear operation, whereas convolution is a linear operation.

Unlike erosion, which is a shrinking or thinning operation, dilation "grows" or "thickens" objects in a binary image. The specific manner and extent of this thickening is controlled by the shape of the structuring element used. Figure 9.6(a) shows the same set used in Fig. 9.4, and Fig. 9.6(b) shows a structuring element (in this case $\hat{B} = B$ because the SE is symmetric about its origin). The dashed line in Fig. 9.6(c) shows the original set for reference, and the solid line shows the limit beyond which any further displacements of the origin of \hat{B} by z would cause the intersection of \hat{B} and A to be empty. Therefore, all points on and inside this boundary constitute the dilation of A by B . Figure 9.6(d) shows a structuring element designed to achieve more dilation vertically than horizontally, and Fig. 9.6(e) shows the dilation achieved with this element.

The opening of set A by structuring element B , denoted $A \circ B$, is defined as

$$A \circ B = (A \ominus B) \oplus B$$

Thus, the opening A by B is the erosion of A by B , followed by a dilation of the result by B . Similarly, the closing of set A by structuring element B , denoted $A \bullet B$, is defined as

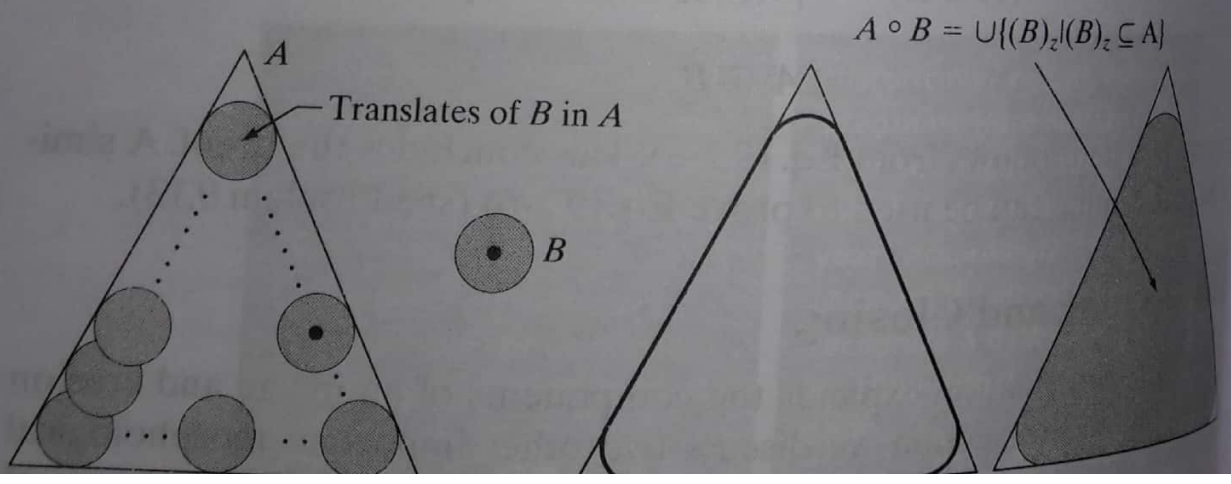
$$A \bullet B = (A \oplus B) \ominus B$$

which says that the closing of A by B is simply the dilation of A by B , followed by the erosion of the result by B .

The opening operation has a simple geometric interpretation (Fig. 9.8). Suppose that we view the structuring element B as a (flat) "rolling ball". The boundary of $A \circ B$ is then established by the points in B that reach the boundary of A as B is rolled around the inside of this boundary. This geometric fitting property of the opening operation leads to a simple theoretic formulation, which states that the opening of A by B is obtained by taking the union of all translates of B that fit into A . That is, opening can be expressed as a fitting process such that

$$A \circ B = \bigcup \{ (B)_z \mid (B)_z \subseteq A \}$$

where $\bigcup \{ \cdot \}$ denotes the union of all the sets inside the braces. Closing has a similar geometric interpretation, except that now we roll B on the outside of the boundary (Fig. 9.9). As discussed below, opening and closing are duals of each other, so having to roll the ball on the outside is not unexpected. Geometrically, a point w is an element of $A \bullet B$ if and only if $(B)_z \cap A \neq \emptyset$ for any translate of $(B)_z$ that contains w . Figure 9.9 illustrates the basic geometrical properties of closing.



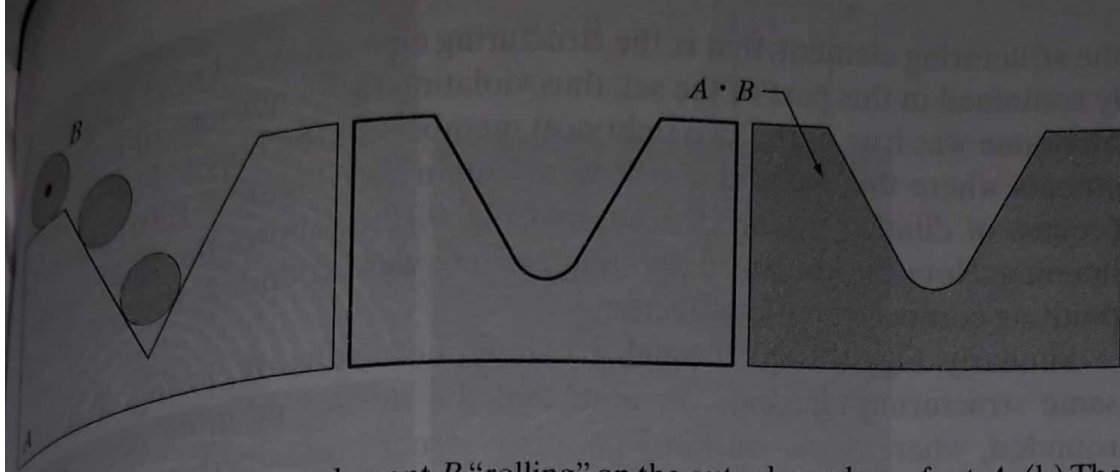
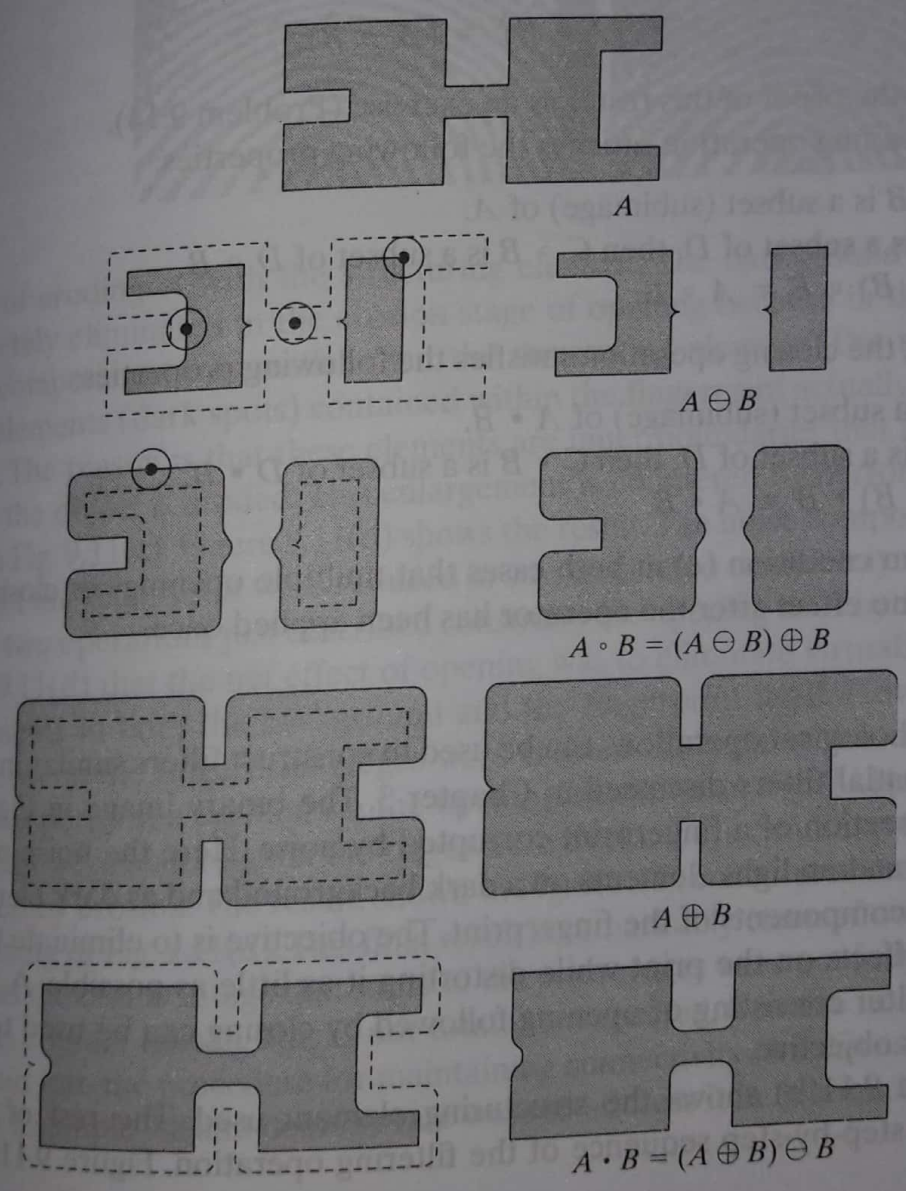


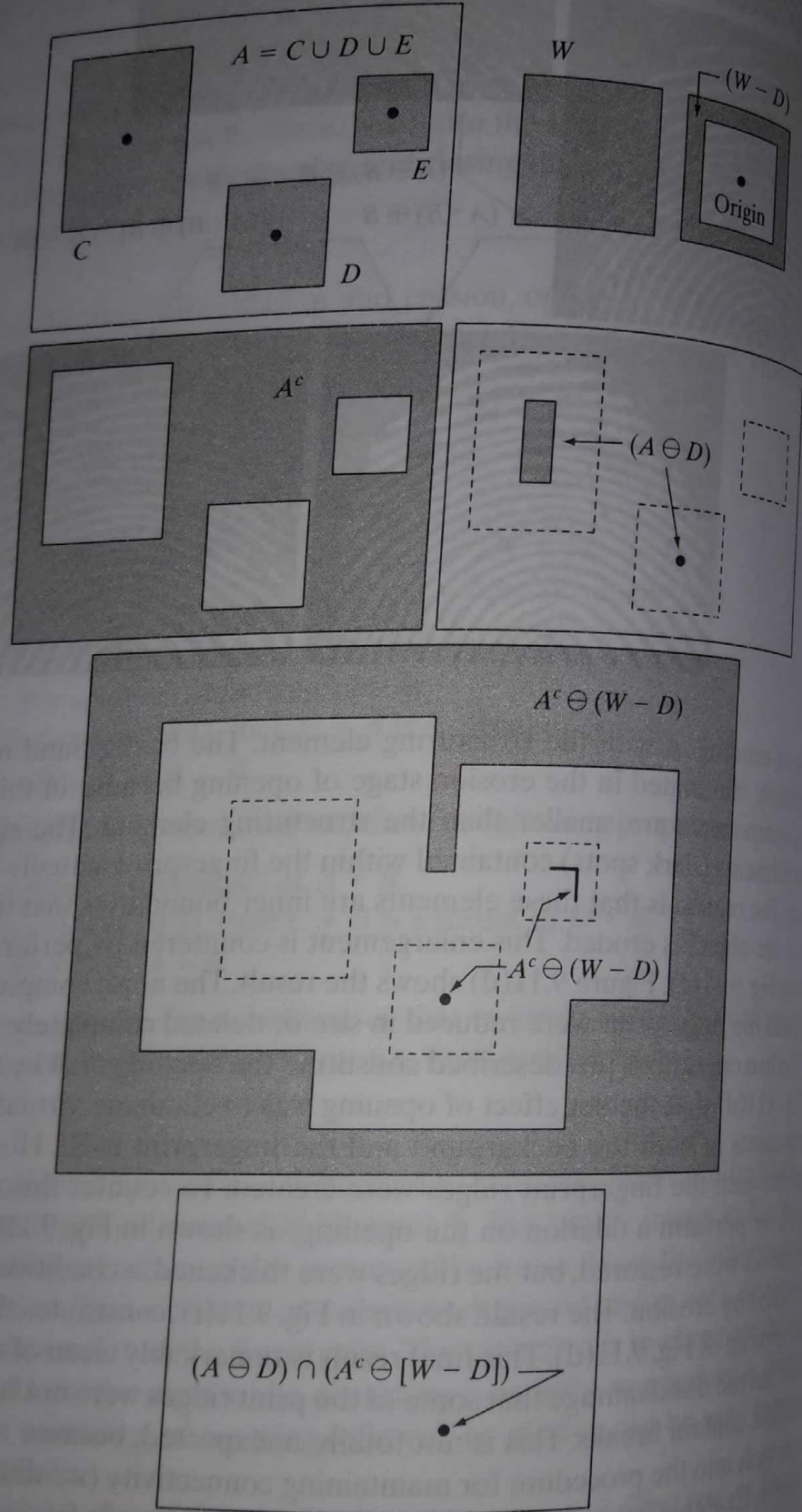
FIGURE 9.9 (a) Structuring element B "rolling" on the outer boundary of set A . (b) The heavy line is the outer boundary of the closing. (c) Complete closing (shaded). We did not shade A in (a) for clarity.

Figure 9.10 further illustrates the opening and closing operations. Figure 9.10(a) shows a set A , and Fig. 9.10(b) shows various positions of a disk structuring element during the erosion process. When completed, this process resulted in the disjoint figure in Fig. 9.10(c). Note the elimination of the bridge between the two main sections. Its width was thin in relation to the diameter of



9.4 The Hit-or-Miss Transformation

The morphological hit-or-miss transform is a basic tool for shape detection. We introduce this concept with the aid of Fig. 9.12, which shows a set consisting of three shapes (subsets), denoted C , D , and E . The shading in Fig. 9.12 through (c) indicates the original sets, whereas the shading in Figs. 9.12 (e) indicates the result of morphological operations. The objective is the location of one of the shapes, say, D .



Let the origin of D be located at its center of gravity. Let D be enclosed by a small window, W . The *local background* of D with respect to W is defined as the set difference $(W - D)$, as shown in Fig. 9.12(b). Figure 9.12(c) shows the complement of A , which is needed later. Figure 9.12(d) shows the erosion of A by D (the dashed lines are included for reference). Recall that the erosion of A by D is the set of locations of the *origin* of D , such that D is completely contained in A . Interpreted another way, $A \ominus D$ may be viewed geometrically as the set of all locations of the origin of D at which D found a match (hit) in A . Keep in mind that in Fig. 9.12 A consists only of the three disjoint sets C , D , and E .

Figure 9.12(e) shows the erosion of the complement of A by the local background set $(W - D)$. The outer shaded region in Fig. 9.12(e) is part of the erosion. We note from Figs. 9.12(d) and (e) that the set of locations for which D exactly fits inside A is the *intersection* of the erosion of A by D and the erosion of A^c by $(W - D)$ as shown in Fig. 9.12(f). This intersection is precisely the location sought. In other words, if B denotes the set composed of D and its background, the match (or set of matches) of B in A , denoted $A \circledast B$, is

$$A \circledast B = (A \ominus D) \cap [A^c \ominus (W - D)] \quad (9.4-1)$$

We can generalize the notation somewhat by letting $B = (B_1, B_2)$, where B_1 is the set formed from elements of B associated with an object and B_2 is the set of elements of B associated with the corresponding background. From the preceding discussion, $B_1 = D$ and $B_2 = (W - D)$. With this notation, Eq. (9.4-1) becomes

$$A \circledast B = (A \ominus B_1) \cap (A^c \ominus B_2) \quad (9.4-2)$$

Thus, set $A \circledast B$ contains all the (origin) points at which, simultaneously, B_1 found a match ("hit") in A and B_2 found a match in A^c . By using the definition of set differences given in Eq. (2.6-19) and the dual relationship between erosion and dilation given in Eq. (9.2-5), we can write Eq. (9.4-2) as

$$A \circledast B = (A \ominus B_1) - (A \oplus \hat{B}_2) \quad (9.4-3)$$

However, Eq. (9.4-2) is considerably more intuitive. We refer to any of the preceding three equations as the *morphological hit-or-miss transform*.

The reason for using a structuring element B_1 associated with objects and an element B_2 associated with the background is based on an assumed definition that two or more objects are distinct only if they form disjoint (disconnected) sets. This is guaranteed by requiring that each object have at least a one-pixel-thick background around it. In some applications, we may be interested in detecting certain patterns (combinations) of 1s and 0s within a set, in which case a background is not required. In such instances, the hit-or-miss transform reduces to simple erosion. As indicated previously, erosion is still a set of matches, but without the additional requirement of a background match for detecting individual objects. This simplified pattern detection scheme is used in some of the algorithms developed in the following section.

the principal applications of morphology is in extracting binary images that are useful in the representation and description of shape. In we consider morphological algorithms for extracting boundaries, components, the convex hull, and the skeleton of a region. In several methods (for region filling, thinning, thickening, and pruning) are used frequently in conjunction with these algorithms, and processing steps. We make extensive use in this section of "minimum" designed to clarify the mechanics of each morphological process. These images are shown graphically with 1s shaded white.

9.5.1 Boundary Extraction

The boundary of a set A , denoted by $\beta(A)$, can be obtained by first eroding A by B and then performing the set difference between A and its erosion. That is,

$$\beta(A) = A - (A \ominus B)$$

where B is a suitable structuring element.

Figure 9.13 illustrates the mechanics of boundary extraction. It shows a simple binary object, a structuring element B , and the result of using B to erode A (9.5-1). Although the structuring element in Fig. 9.13(b) is among the most frequently used, it is by no means unique. For example, using a 5×5 structuring element of 1s would result in a boundary between 2 and 3 pixels thick.

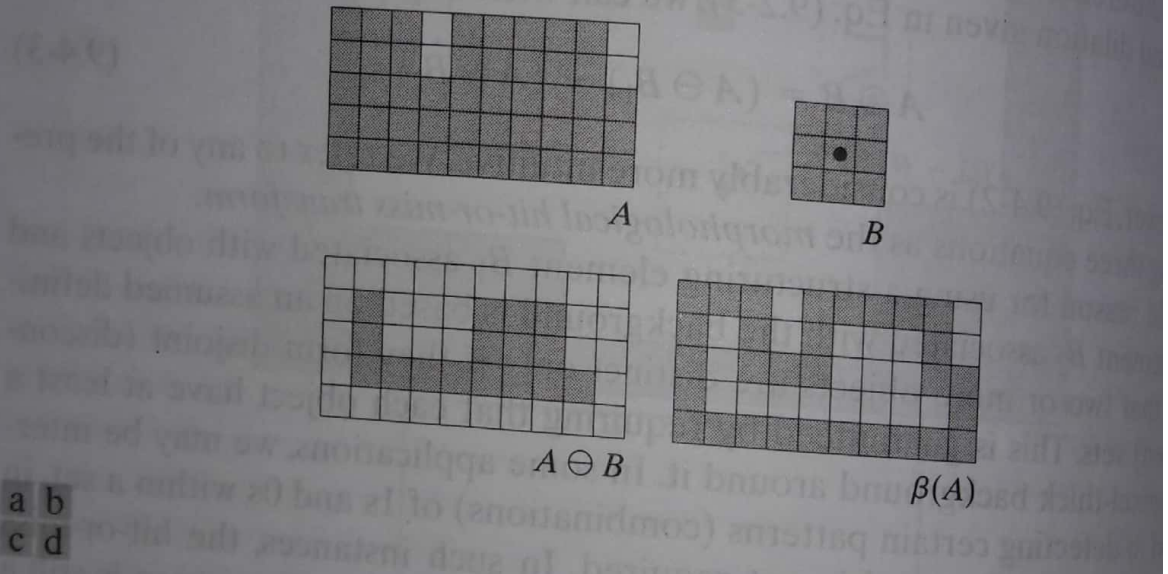


FIGURE 9.13 (a) Set A . (b) Structuring element B . (c) A eroded by B . (d) Boundary given by the set difference between A and its erosion.

9.5.2 Hole Filling

A *hole* may be defined as a background region surrounded by a connected border of foreground pixels. In this section, we develop an algorithm based on set dilation, complementation, and intersection for filling holes in an image. Let A denote a set whose elements are 8-connected boundaries, each boundary enclosing a background region (i.e., a hole). Given a point in each hole, the objective is to fill all the holes with 1s.

We begin by forming an array, X_0 , of 0s (the same size as the array containing A), except at the locations in X_0 corresponding to the given point in each hole, which we set to 1. Then, the following procedure fills all the holes with 1s:

$$X_k = (X_{k-1} \oplus B) \cap A^c \quad k = 1, 2, 3, \dots \quad (9.5-2)$$

where B is the symmetric structuring element in Fig. 9.15(c). The algorithm terminates at iteration step k if $X_k = X_{k-1}$. The set X_k then contains all the filled holes. The set union of X_k and A contains all the filled holes and their boundaries.

The dilation in Eq. (9.5-2) would fill the entire area if left unchecked. However, the intersection at each step with A^c limits the result to inside the region of interest. This is our first example of how a morphological process can be *conditioned* to meet a desired property. In the current application, it is appropriately called *conditional dilation*. The rest of Fig. 9.15 illustrates further the mechanics of Eq. (9.5-2). Although this example only has one hole, the concept clearly applies to any finite number of holes, assuming that a point inside each hole region is given.

convexity. One simple approach to reduce this effect is to limit growth so that it does not extend past the vertical and horizontal dimensions of the original set of points. Imposing this limitation on the example in Fig. 9.19 results in the image shown in Fig. 9.20. Boundaries of greater complexity can be used to limit growth even further in images with more detail. For example, we could use the maximum dimensions of the original set of points along the vertical, horizontal, and diagonal directions. The price paid for refinements such as this is additional complexity and increased computational requirements of the algorithm.

9.5.5 Thinning

The thinning of a set A by a structuring element B , denoted $A \otimes B$, can be defined in terms of the hit-or-miss transform:

$$\begin{aligned}
 A \otimes B &= A - (A \circledast B) \\
 &= A \cap (A \circledast B)^c
 \end{aligned}
 \tag{9.5-6}$$

As in the previous section, we are interested only in pattern matching with the structuring elements, so no background operation is required in the hit-or-miss transform. A more useful expression for thinning A symmetrically is based on a sequence of structuring elements:

$$\{B\} = \{B^1, B^2, B^3, \dots, B^n\}
 \tag{9.5-7}$$

where B^i is a rotated version of B^{i-1} . Using this concept, we now define thinning by a sequence of structuring elements as

$$A \otimes \{B\} = ((\dots((A \otimes B^1) \otimes B^2) \dots) \otimes B^n)
 \tag{9.5-8}$$

The process is to thin A by *one pass* with B^1 , then thin the result with one pass of B^2 , and so on, until A is thinned with one pass of B^n . The entire process is repeated until no further changes occur. Each individual thinning pass is performed using Eq. (9.5-6).

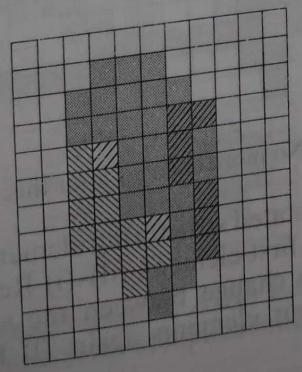


Figure 9.21(a) shows a set of structuring elements commonly used for thinning, and Fig. 9.21(b) shows a set A to be thinned by using the procedure just discussed. Figure 9.21(c) shows the result of thinning with the first element B^1 , and Figs. 9.21(d) through (k) show the result of successive passes of B^1 , and Figs. 9.21(l) through (m) show the result of thinning with the other structuring elements. Convergence was achieved after the second pass of B^6 . Figure 9.21(n) shows the thinned result. Figure 9.21(o) shows the thinned set converted to m -connectivity (see Section 2.5.2) to eliminate multiple paths.

9.5.6 Thickening

Thickening is the morphological dual of thinning and is defined by the equation

$$A \odot B = A \cup (A \otimes B)$$

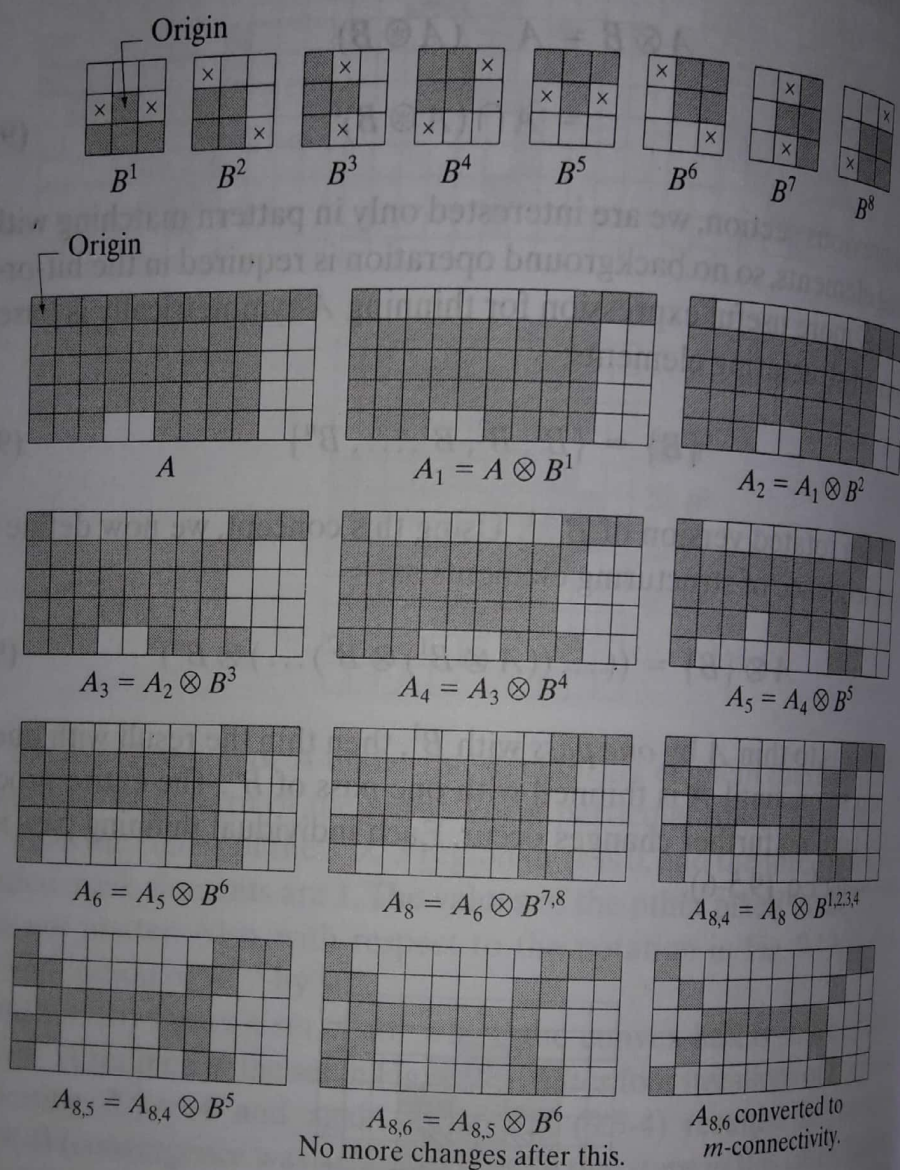


FIGURE 9.21 (a) Sequence of rotated structuring elements used for thinning. (b) Set A . (c) Result of thinning with the first element. (d)–(i) Results of thinning with the next seven elements (there was no change between the seventh and eighth elements). (j) Result of using the first four elements again. (l) Result after convergence. (m) Conversion to m -connectivity.

... B is a structuring element suitable for thickening. As in thinning, thickening can be defined as a sequential operation:

$$A \odot \{B\} = ((\dots ((A \odot B^1) \odot B^2) \dots) \odot B^n) \quad (9.5-10)$$

structuring elements used for thickening have the same form as those in Fig. 9.21(a), but with all 1s and 0s interchanged. However, a separate algorithm for thickening is seldom used in practice. Instead, the usual procedure is to thin the background of the set in question and then complement the result. In other words, to thicken a set A , we form $C = A^c$, thin C , and then complement C^c . Figure 9.22 illustrates this procedure. Depending on the nature of A , this procedure can result in disconnected points, as Fig. 9.22(d) shows. Hence thickening by this method usually is followed by postprocessing to remove disconnected points. Note from Fig. 9.22(c) that the thinned background forms a boundary for the thickening process. This useful feature is not present in the direct implementation of thickening using Eq. (9.5-10), and it is one of the principal reasons for using background thinning to accomplish thickening.

7 Skeletons

Fig. 9.23 shows, the notion of a skeleton, $S(A)$, of a set A is intuitively simple. We deduce from this figure that

If z is a point of $S(A)$ and $(D)_z$ is the largest disk centered at z and contained in A , one cannot find a larger disk (not necessarily centered at z) containing $(D)_z$ and included in A . The disk $(D)_z$ is called a *maximum disk*.

The disk $(D)_z$ touches the boundary of A at two or more different places.

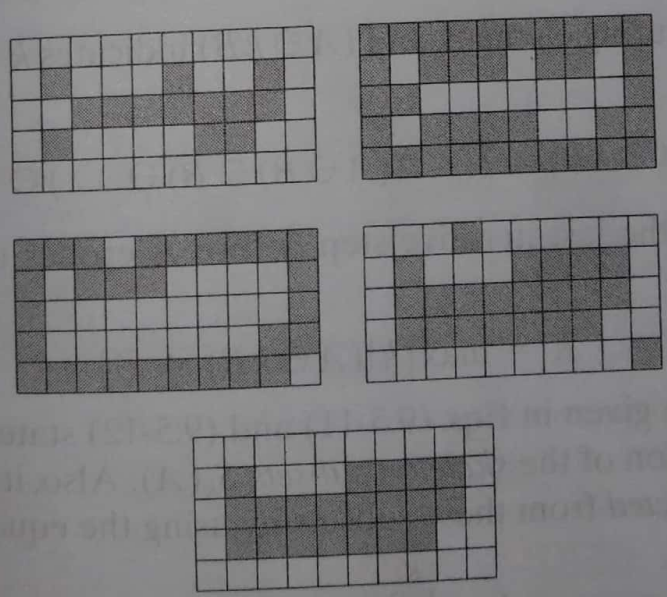
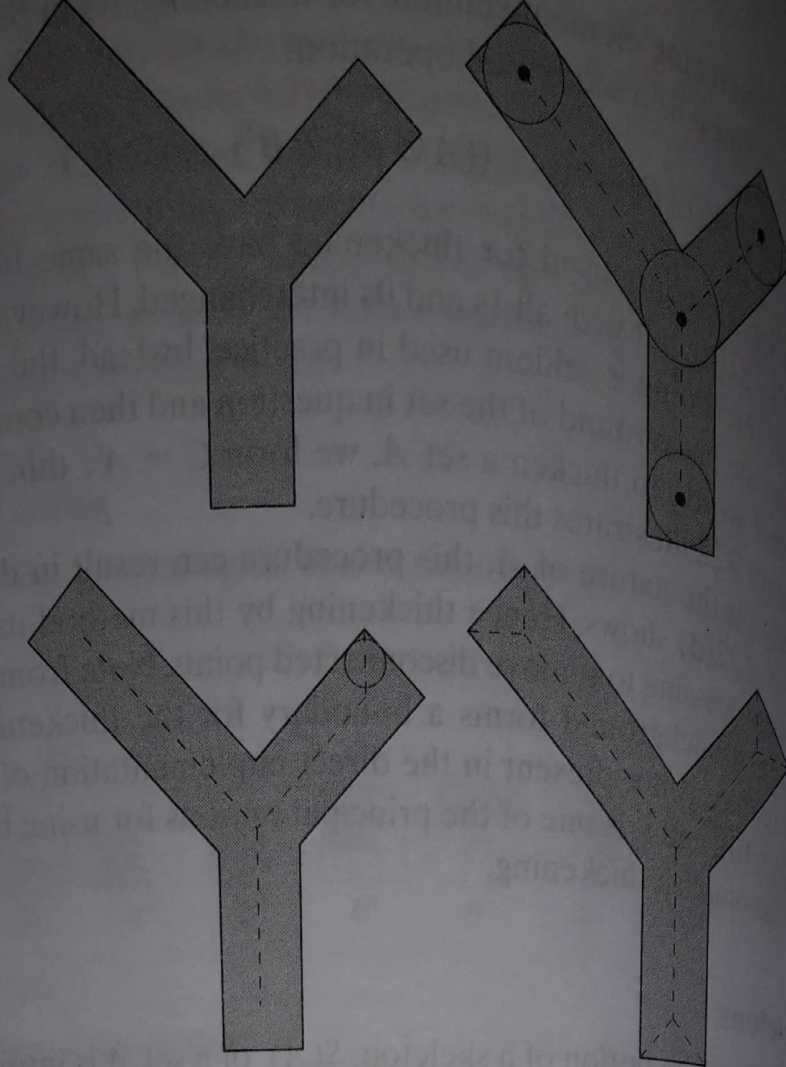


FIGURE 9.22 (a) Set A . (b) Complement of A . (c) Result of thinning the complement of A . (d) Thickened set obtained by complementing (c). (e) Final result, with no disconnected points.



The skeleton of A can be expressed in terms of erosions and openings. That it can be shown (Serra [1982]) that

$$S(A) = \bigcup_{k=0}^K S_k(A) \quad (9.5-11)$$

with

$$S_k(A) = (A \ominus kB) - (A \ominus kB) \circ B \quad (9.5-12)$$

where B is a structuring element, and $(A \ominus kB)$ indicates k successive erosions of A :

$$(A \ominus kB) = ((\dots((A \ominus B) \ominus B) \ominus \dots) \ominus B) \quad (9.5-13)$$

k times, and K is the last iterative step before A erodes to an empty set. In other words,

$$K = \max\{k | (A \ominus kB) \neq \emptyset\} \quad (9.5-14)$$

The formulation given in Eqs. (9.5-11) and (9.5-12) states that $S(A)$ can be obtained as the union of the *skeleton subsets* $S_k(A)$. Also, it can be shown that A can be *reconstructed* from these subsets by using the equation

$$A = \bigcup_{k=0}^K (S_k(A) \oplus kB) \quad (9.5-15)$$

where $(S_k(A) \oplus kB)$ denotes k successive dilations of $S_k(A)$; that is,

$$(S_k(A) \oplus kB) = ((\dots((S_k(A) \oplus B) \oplus B) \oplus \dots) \oplus B) \quad (9.5-16)$$

uncorrelated with the values of noise components. Although there is no correlation between pixel values and the values of noise components in some applications (quite limited imaging, such as in X-ray and nuclear-medicine imaging), the complexities of dealing with spatially dependent and correlated noise are beyond the scope of our discussion.

5.2.2 Some Important Noise Probability Density Functions

Based on the assumptions in the previous section, the *spatial noise density* with which we shall be concerned is the statistical behavior of the integrated random variables, characterized by a probability density function (PDF). The following are among the most common PDFs found in image processing applications.

Gaussian noise

Because of its mathematical tractability in both the spatial and frequency domains, Gaussian (also called *normal*) noise models are used frequently in practice. In fact, this tractability is so convenient that it often results in Gaussian models being used in situations in which they are marginally applicable at best.

The PDF of a Gaussian random variable, z , is given by

$$p(z) = \frac{1}{\sqrt{2\pi}\sigma} e^{-(z-\bar{z})^2/2\sigma^2} \quad (5.2)$$

where z represents intensity, \bar{z} is the mean[†] (average) value of z , and σ is its standard deviation. The standard deviation squared, σ^2 , is called the *variance* of z . A plot of this function is shown in Fig. 5.2(a). When z is described by Eq. (5.2), approximately 70% of its values will be in the range $[(\bar{z} - \sigma), (\bar{z} + \sigma)]$, and about 95% will be in the range $[(\bar{z} - 2\sigma), (\bar{z} + 2\sigma)]$.

Rayleigh noise

The PDF of Rayleigh noise is given by

$$p(z) = \begin{cases} \frac{2}{b}(z - a)e^{-(z-a)^2/b} & \text{for } z \geq a \\ 0 & \text{for } z < a \end{cases} \quad (5.3)$$

The mean and variance of this density are given by

$$\bar{z} = a + \sqrt{\pi b/4} \quad (5.4)$$

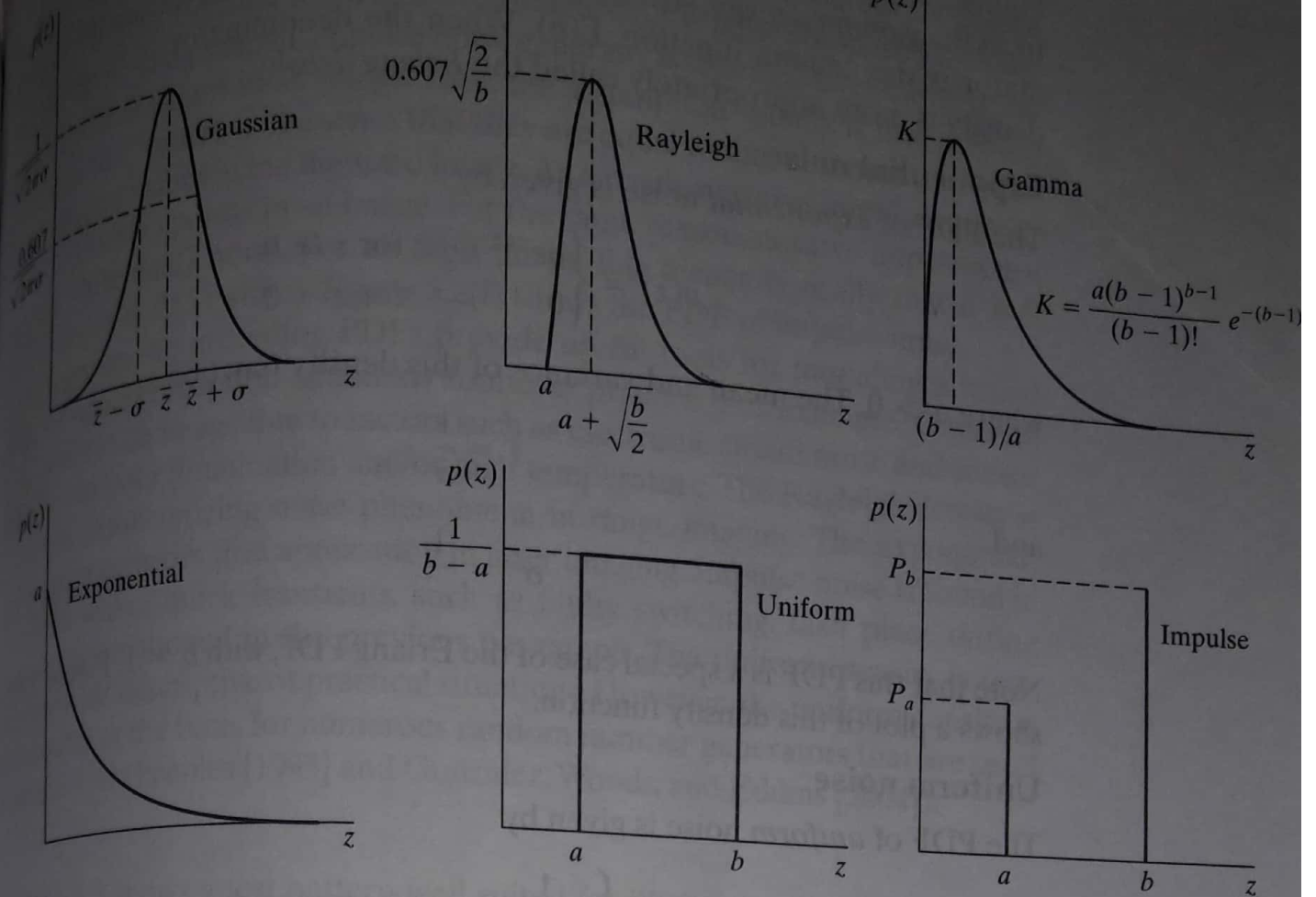


FIGURE 5.2 Some important probability density functions.

$$\sigma^2 = \frac{b(4 - \pi)}{4} \quad (5.2-4)$$

Figure 5.2(b) shows a plot of the Rayleigh density. Note the displacement from the origin and the fact that the basic shape of this density is skewed to the right. The Rayleigh density can be quite useful for approximating skewed histograms.

Erlang (gamma) noise

The PDF of Erlang noise is given by

$$p(z) = \begin{cases} \frac{a^b z^{b-1}}{(b-1)!} e^{-az} & \text{for } z \geq 0 \\ 0 & \text{for } z < 0 \end{cases} \quad (5.2-5)$$

where the parameters are such that $a > 0$, b is a positive integer, and “!” indicates factorial. The mean and variance of this density are given by

$$\bar{z} = \frac{b}{a} \quad (5.2-6)$$

$$\sigma^2 = \frac{b}{a^2} \quad (5.2-7)$$

Figure 5.2(c) shows a plot of this density. Although Eq. (5.2-5) often is referred to as the *gamma density*, strictly speaking this is correct only when the denominator is the gamma function, $\Gamma(b)$. When the denominator is as shown, the density is more appropriately called the *Erlang density*.

Exponential noise

The PDF of *exponential* noise is given by

$$p(z) = \begin{cases} ae^{-az} & \text{for } z \geq 0 \\ 0 & \text{for } z < 0 \end{cases} \quad (5.2-5)$$

where $a > 0$. The mean and variance of this density function are

$$\bar{z} = \frac{1}{a} \quad (5.2-6)$$

and

$$\sigma^2 = \frac{1}{a^2} \quad (5.2-7)$$

Note that this PDF is a special case of the Erlang PDF, with $b = 1$. Figure 5.2(d) shows a plot of this density function.

Uniform noise

The PDF of *uniform* noise is given by

$$p(z) = \begin{cases} \frac{1}{b-a} & \text{if } a \leq z \leq b \\ 0 & \text{otherwise} \end{cases} \quad (5.2-11)$$

The mean of this density function is given by

$$\bar{z} = \frac{a+b}{2} \quad (5.2-12)$$

and its variance by

$$\sigma^2 = \frac{(b-a)^2}{12} \quad (5.2-13)$$

Figure 5.2(e) shows a plot of the uniform density.

Impulse (salt-and-pepper) noise

The PDF of (*bipolar*) *impulse* noise is given by

$$p(z) = \begin{cases} P_a & \text{for } z = a \\ P_b & \text{for } z = b \\ 0 & \text{otherwise} \end{cases} \quad (5.2-14)$$

If $b > a$, intensity b will appear as a light dot in the image. Conversely, level a will appear like a dark dot. If either P_a or P_b is zero, the impulse noise is called *unipolar*. If neither probability is zero, and especially if they are approximately equal, impulse noise values will resemble salt-and-pepper granules randomly distributed over the image. For this reason, bipolar impulse noise also is called *salt-and-pepper* noise. *Data-drop-out* and *spike* noise also are terms used to refer to this type of noise. We use the terms *impulse* or *salt-and-pepper* noise interchangeably.

Median filter

The best-known order-statistic filter is the *median filter*, which, as its name implies, replaces the value of a pixel by the median of the intensity levels in its neighborhood of that pixel:

$$\hat{f}(x, y) = \underset{(s,t) \in S_{xy}}{\text{median}} \{g(s, t)\} \quad (5.3)$$

The value of the pixel at (x, y) is included in the computation of the median. Median filters are quite popular because, for certain types of random noise, they provide excellent noise-reduction capabilities, with considerably less blurring than linear smoothing filters of similar size. Median filters are particularly effective in the presence of both bipolar and unipolar impulse noise. In fact, as Example 5.3 below shows, the median filter yields excellent results on images corrupted by this type of noise. Computation of the median and implementation of this filter are discussed in Section 3.5.2.

Max and min filters

Although the median filter is by far the order-statistic filter most used in image processing, it is by no means the only one. The median represents the 50th percentile of a ranked set of numbers, but you will recall from basic statistics that ranking lends itself to many other possibilities. For example, using the 100th percentile results in the so-called *max filter*, given by

$$\hat{f}(x, y) = \max_{(s,t) \in S_{xy}} \{g(s, t)\} \quad (5.3)$$

This filter is useful for finding the brightest points in an image. Also, because pepper noise has very low values, it is reduced by this filter as a result of the max selection process in the subimage area S_{xy} .

The 0th percentile filter is the *min filter*:

$$\hat{f}(x, y) = \min_{(s,t) \in S_{xy}} \{g(s, t)\} \quad (5.3-9)$$

This filter is useful for finding the darkest points in an image. Also, it reduces salt noise as a result of the min operation.

Midpoint filter

The midpoint filter simply computes the midpoint between the maximum and minimum values in the area encompassed by the filter:

$$\hat{f}(x, y) = \frac{1}{2} \left[\max_{(s,t) \in S_{xy}} \{g(s, t)\} + \min_{(s,t) \in S_{xy}} \{g(s, t)\} \right] \quad (5.3-10)$$

Note that this filter combines order statistics and averaging. It works best for randomly distributed noise, like Gaussian or uniform noise.

Alpha-trimmed mean filter

Suppose that we delete the $d/2$ lowest and the $d/2$ highest intensity values of $g(s, t)$ in the neighborhood S_{xy} . Let $g_r(s, t)$ represent the remaining $mn - d$ pixels. A filter formed by averaging these remaining pixels is called an *alpha-trimmed mean filter*:

$$\hat{f}(x, y) = \frac{1}{mn - d} \sum_{(s,t) \in S_{xy}} g_r(s, t) \quad (5.3-11)$$

where the value of d can range from 0 to $mn - 1$. When $d = 0$, the alpha-trimmed filter reduces to the arithmetic mean filter discussed in the previous section. If we choose $d = mn - 1$, the filter becomes a median filter. For other values of d , the alpha-trimmed filter is useful in situations involving multiple types of noise, such as a combination of salt-and-pepper and Gaussian noise.

Figure 5.10(a) shows the circuit board image corrupted by salt-and-pepper noise with probabilities $P_a = P_b = 0.1$. Figure 5.10(b) shows the result of median filtering with a filter of size 3×3 . The improvement over Fig. 5.10(a) is significant, but several noise points still are visible. A second pass [on the image in Fig. 5.10(b)] with the median filter removed most of these points, leaving only few, barely visible noise points. These were removed with a third pass of the filter. These results are good examples of the power of median filtering in handling impulse-like additive noise. Keep in mind that repeated passes of a median filter will blur the image, so it is desirable to keep the number of passes as low as possible.

Figure 5.11(a) shows the result of applying the max filter to the pepper noise image of Fig. 5.8(a). The filter did a reasonable job of removing the pepper noise, but we note that it also removed (set to a light intensity level) some dark pixels from the borders of the dark objects. Figure 5.11(b) shows the result of applying the min filter to the image in Fig. 5.8(b). In this case, the min filter did a better job than the max filter on noise removal, but it removed some white points around the border of light objects. These made the light objects smaller and

5.3.1 Mean Filters

In this section we discuss briefly the noise-reduction capabilities of the spatial filters introduced in Section 3.5 and develop several other filters whose performance is in many cases superior to the filters discussed in that section.

Arithmetic mean filter

This is the simplest of the mean filters. Let S_{xy} represent the set of coordinates of a rectangular subimage window (neighborhood) of size $m \times n$, centered at point (x, y) . The arithmetic mean filter computes the average value of the corrupted image $g(x, y)$ in the area defined by S_{xy} . The value of the restored image at point (x, y) is simply the arithmetic mean computed using the pixels in the region defined by S_{xy} . In other words,

$$\hat{f}(x, y) = \frac{1}{mn} \sum_{(s, t) \in S_{xy}} g(s, t)$$

This operation can be implemented using a spatial filter of size $m \times n$ in which all coefficients have value $1/mn$. A mean filter smooths local variations in an image, and noise is reduced as a result of blurring.

Geometric mean filter
 Image restored using a geometric mean filter is given by the expression

$$\hat{f}(x, y) = \left[\prod_{(s,t) \in S_{xy}} g(s, t) \right]^{\frac{1}{mn}} \quad (5.3-4)$$

Each restored pixel is given by the product of the pixels in the subimage, raised to the power $1/mn$. As shown in Example 5.2, a geometric mean filter achieves smoothing comparable to the arithmetic mean filter, but it tends to lose less image detail in the process.

Harmonic mean filter

Harmonic mean filtering operation is given by the expression

$$\hat{f}(x, y) = \frac{mn}{\sum_{(s,t) \in S_{xy}} \frac{1}{g(s, t)}} \quad (5.3-5)$$

Harmonic mean filter works well for salt noise, but fails for pepper noise. It does well also with other types of noise like Gaussian noise.

Contraharmonic mean filter

Contraharmonic mean filter yields a restored image based on the expression

$$\hat{f}(x, y) = \frac{\sum_{(s,t) \in S_{xy}} g(s, t)^{Q+1}}{\sum_{(s,t) \in S_{xy}} g(s, t)^Q} \quad (5.3-6)$$

where Q is called the *order* of the filter. This filter is well suited for reducing or totally eliminating the effects of salt-and-pepper noise. For positive values of Q , the filter eliminates pepper noise. For negative values of Q it eliminates salt noise. It cannot do both simultaneously. Note that the contraharmonic filter reduces to the arithmetic mean filter if $Q = 0$, and to the harmonic mean filter if $Q = -1$.

Figure 5.7(a) shows an 8-bit X-ray image of a circuit board, and Fig. 5.7(b) shows the same image, but corrupted with additive Gaussian noise of zero mean and variance of 400. For this type of image this is a significant level of noise. Figures 5.7(c) and (d) show, respectively, the result of filtering the noisy

5.6.1 Estimation by Image Observation

Suppose that we are given a degraded image without any knowledge about the degradation function H . Based on the assumption that the image was degraded by a linear, position-invariant process, one way to estimate H is to gather information from the image itself. For example, if the image is blurred, we can look at a small rectangular section of the image containing sample structures like part of an object and the background. In order to reduce the effect of noise, we would look for an area in which the signal content is strong (e.g., an area of high contrast). The next step would be to process the subimage to arrive at a result that is as unblurred as possible. For example, we can do this by sharpening the subimage with a sharpening filter and even by processing small areas by hand.

Let the observed subimage be denoted by $g_s(x, y)$, and let the processed subimage (which in reality is our estimate of the original image in that area) be denoted by $\hat{f}_s(x, y)$. Then, assuming that the effect of noise is negligible because of our choice of a strong-signal area, it follows from Eq. (5.5-17) that

$$H_s(u, v) = \frac{G_s(u, v)}{\hat{F}_s(u, v)} \quad (5.6-1)$$

From the characteristics of this function, we then deduce the complete degradation function $H(u, v)$ based on our assumption of position invariance. For example, suppose that a radial plot of $H_s(u, v)$ has the approximate shape of a Gaussian curve. We can use that information to construct a function $H(u, v)$ on a larger scale, but having the same basic shape. We then use $H(u, v)$ in one of the restoration approaches to be discussed in the following sections. Clearly, this is a laborious process used only in very specific circumstances such as, for example, restoring an old photograph of historical value.

5.6.2 Estimation by Experimentation

If equipment similar to the equipment used to acquire the degraded image is available, it is possible in principle to obtain an accurate estimate of the degradation. Images similar to the degraded image can be acquired with various system settings until they are degraded as closely as possible to the image we wish to restore. Then the idea is to obtain the impulse response of the degradation by imaging an impulse (small dot of light) using the same system settings. As noted in Section 5.5, a linear, space-invariant system is characterized completely by its impulse response.

An impulse is simulated by a bright dot of light, as bright as possible to reduce the effect of noise to negligible values. Then, recalling that the Fourier transform of an impulse is a constant, it follows from Eq. (5.5-17) that

$$H(u, v) = \frac{G(u, v)}{A} \quad (5.6-2)$$

where, as before, $G(u, v)$ is the Fourier transform of the observed image and A is a constant describing the strength of the impulse. Figure 5.24 shows an example.

5.6.3 Estimation by Modeling

Degradation modeling has been used for many years because of the insight it affords into the image restoration problem. In some cases, the model can even take into account environmental conditions that cause degradations. For example, a degradation model proposed by Hufnagel and Stanley [1964] is based on the physical characteristics of atmospheric turbulence. This model has a familiar form:

$$H(u, v) = e^{-k(u^2+v^2)^{5/6}} \quad (5.6-3)$$

where k is a constant that depends on the nature of the turbulence. With the exception of the 5/6 power on the exponent, this equation has the same form as the Gaussian lowpass filter discussed in Section 4.8.3. In fact, the Gaussian LPF is used sometimes to model mild, uniform blurring. Figure 5.25 shows examples

obtained by simulating blurring an image using Eq. (5.6-3) with values $k = 0.0025$ (severe turbulence), $k = 0.001$ (mild turbulence), and $k = 0.00025$ (low turbulence). All images are of size 480×480 pixels.

Another major approach in modeling is to derive a mathematical model from basic principles. We illustrate this procedure by treating in some detail the case in which an image has been blurred by uniform linear motion between the image and the sensor during image acquisition. Suppose that an image $f(x, y)$ undergoes planar motion and that $x_0(t)$ and $y_0(t)$ are the time-varying components of motion in the x - and y -directions, respectively. The blurred exposure at any point of the recording medium (say, film or digital memory) is obtained by integrating the instantaneous exposure over the time interval during which the imaging system shutter is open.

Assuming that shutter opening and closing takes place instantaneously, and that the optical imaging process is perfect, isolates the effect of image motion. Then, if T is the duration of the exposure, it follows that

$$g(x, y) = \int_0^T f[x - x_0(t), y - y_0(t)] dt \quad (5.6-4)$$

where $g(x, y)$ is the blurred image. From Eq. (4.5-7), the Fourier transform of Eq. (5.6-4) is

$$\begin{aligned} G(u, v) &= \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} g(x, y) e^{-j2\pi(ux+vy)} dx dy \\ &= \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \left[\int_0^T f[x - x_0(t), y - y_0(t)] dt \right] e^{-j2\pi(ux+vy)} dx dy \end{aligned} \quad (5.6-5)$$

Reversing the order of integration allows Eq. (5.6-5) to be expressed in the form

$$G(u, v) = \int_0^T \left[\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} f[x - x_0(t), y - y_0(t)] e^{-j2\pi(ux+vy)} dx dy \right] dt \quad (5.6-6)$$

The term inside the outer brackets is the Fourier transform of the displaced function $f[x - x_0(t), y - y_0(t)]$. Using Eq. (4.6-4) then yields the expression

$$\begin{aligned} G(u, v) &= \int_0^T F(u, v) e^{-j2\pi[ux_0(t)+vy_0(t)]} dt \\ &= F(u, v) \int_0^T e^{-j2\pi[ux_0(t)+vy_0(t)]} dt \end{aligned} \quad (5.6-7)$$

where the last step follows from the fact that $F(u, v)$ is independent of t . By defining

$$H(u, v) = \int_0^T e^{-j2\pi[ux_0(t)+vy_0(t)]} dt \quad (5.6-8)$$