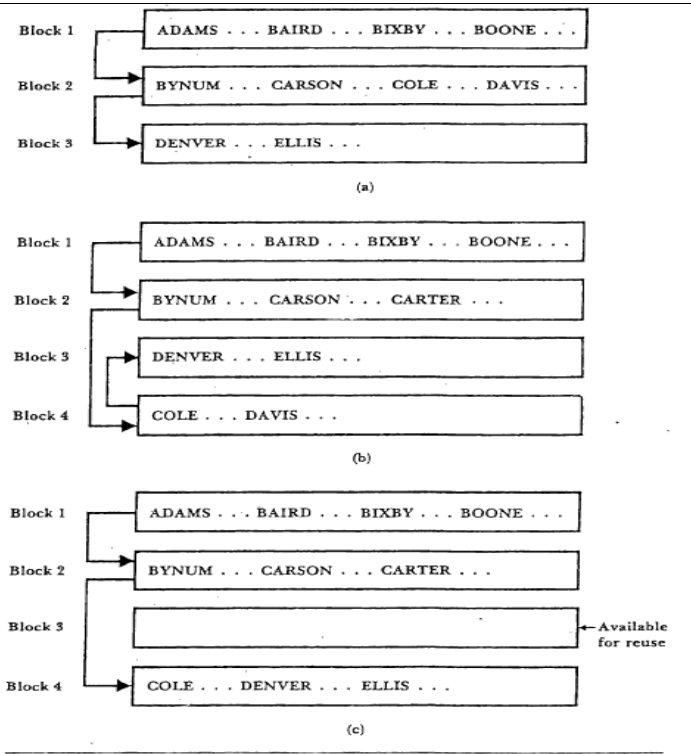


Internal Assessment Test 3 – July 2023  
QP SCHEME

Sub:	File Structures					Sub Code:	18IS61	Branch:	ISE	
Date:	04/06/2023	Duration:	90 min's	Max Marks:	50	Sem/Sec:	VI A, B & C	OBE		
<b>Answer any FIVE FULL Questions</b>								MARKS	CO	RBT
1.	<p>Explain What is hashing?. Explain different hashing functions with example.</p> <p>What is Hashing?</p> <ul style="list-style-type: none"> <li>✓ A Hash function is like a black box that produces an address every time a key is dropped.</li> <li>✓ The process of producing an address when a key is dropped to hash function is called Hashing</li> <li>✓ Hash function is given by <math>h(K)</math> -- it transforms a key 'K' into an address.</li> <li>✓ The resulting address is used to store and retrieve the record.</li> </ul> <p><b>Square the key and take the mid (Mid Square Method):</b></p> <p>This method involves:</p> <ul style="list-style-type: none"> <li>✓ treating the key as single large number</li> <li>✓ squaring the number and</li> <li>✓ extracting whatever number of digits are required from the middle of the result.</li> </ul> <p><b>For example:</b></p> <ul style="list-style-type: none"> <li>✓ Consider the key 453, its square is <math>(453)^2 = 205209</math>.</li> <li>✓ Extracting the middle 2 digits yields a number 52 which is between 0 – 99.</li> </ul> <p><b>Radix Transformation:</b></p> <p>This method involves:</p> <ul style="list-style-type: none"> <li>✓ Converting the key from one base system to another base system.</li> <li>✓ Then dividing the result with maximum address and taking the remainder.</li> </ul> <p><b>For example:</b></p> <ul style="list-style-type: none"> <li>✓ If the hash address range is 0 – 99 and key is <math>(453)_{11}</math>.</li> <li>✓ Converting this number to base 11 system results in <math>(453)_{11} = 382</math>.</li> <li>✓ Then <math>382 \text{ mod } 99 = 85</math>.</li> <li>✓ So 85 is the hash address.</li> </ul>					10	CO3	L2		
2.a	<p>Explain Use of Blocks</p> <p><b>USE OF BLOCKS</b></p> <ul style="list-style-type: none"> <li>✓ Sorting entire file is expensive. So, localize it.</li> <li>✓ One of the best ways is, to collect the records into blocks.</li> <li>✓ Size of buffers used in a program, can hold an entire block.</li> </ul>					5	CO3	L2		



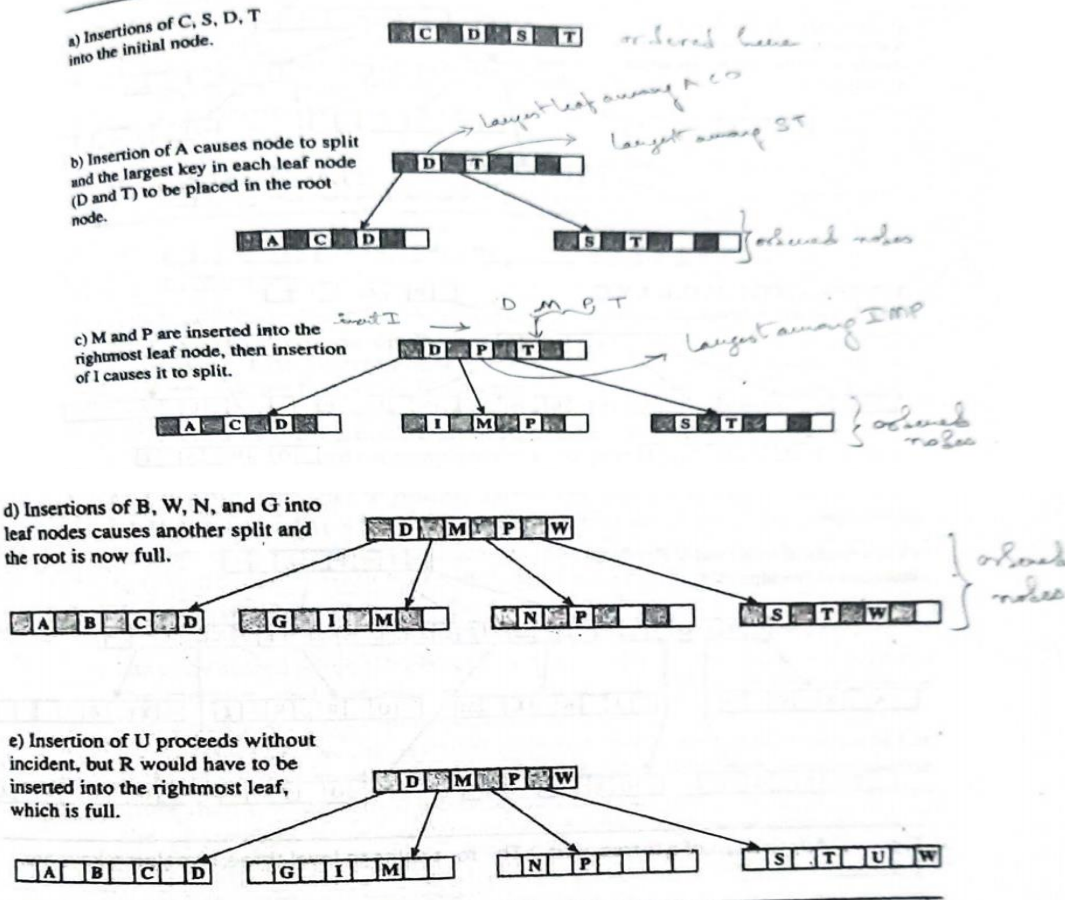
An example : To show how blocks keep a sequence set in order.

- Suppose records are keyed on last name and
- Collected together so there are 4 records in a block, and
- Also link field is included in each block.
- ✓ Insertion of new records into a block can cause the block to overflow.
- ✓ The overflow condition can be handled by a block-splitting process.
- ✓ Deletion of records can cause a block to be less than half full and therefore to underflow.

Underflow in B-Tree can lead to either of 2 solutions:

- ✓ If a neighbouring node is also half full,
- ✓ Merge the two nodes, freeing one for reuse.
- ✓ If the neighbouring nodes are more than half full,
- ✓ Redistribute records between the nodes to make distribution even

2. b	<p>Explain Choice of block size.</p> <p>Block is the basic for I/O</p> <p style="text-align: center;">Then, What is the block size?</p> <p>Consideration regarding an upper bound for block size are as follows:</p> <p><b>Consideration 1:</b> The block size should be such that we can hold severe blocks in memory at once.</p> <p>For example, in performing a block split or merging, we should be able to hold at least 2 blocks in memory at a time.</p>	5	CO3	L2
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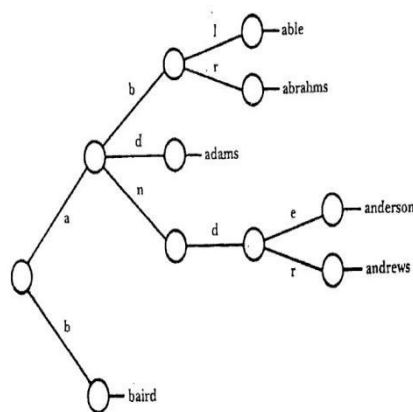
	<p><b>Consideration 2:</b> Reading in or writing out a block should not take very long.</p> <p>Upper limit is placed on the block size so we would not end up reading entire file just to get at a single record.</p>			
<p>3.</p>	<p>Explain a B-Tree, the creation with examples.</p> <ul style="list-style-type: none"> <li>✓ B-trees are balanced search tree.</li> <li>✓ More than 2 children are possible.</li> <li>✓ B-Tree, stores all information in the leaves and stores only keys and Child pointer.</li> <li>✓ If an internal B-tree node <math>x</math> contains <math>n[x]</math> keys then <math>x</math> has <math>n[x]+1</math> children.</li> </ul> <p>Statement of the problem</p> <ul style="list-style-type: none"> <li>✓ Searching an index must be faster than binary searching.</li> <li>✓ Inserting and deleting must be as fast as searching.</li> </ul> <p>Construct a B – Tree of order 4, for the following set of keys</p> <p style="text-align: center;"><b>C S D T A M P I B W N G U R K E H O L J Y Q Z F X V</b></p>  <p><b>Figure 9.14</b> Growth of a B-tree, part 1. The tree grows to a point at which the root needs to be split the second time.</p>	<p>10</p>	<p>CO3</p>	<p>L2</p>
<p>4.</p>	<p>Using an example explain the limitations of chained progressive overflow.</p> <ul style="list-style-type: none"> <li>✓ It forms a linked list, or chain, of synonyms.</li> </ul>	<p>10</p>	<p>CO3</p>	<p>L3</p>

- ✓ Each home address contains a number indicating the location of the next record with the same home address.
- ✓ The next record in turn contains a pointer to the other record with the same home address.
- ✓ This is shown in the figure below: In the figure below Admans contain the pointer to Cole which is synonym.
- ✓ Then Bates contain pointer to Dean which are again synonym. (Consider the below given Table )
- ✓ The figure below represents the chained progressive overflow technique.

Home address	Actual Address	Records	Address of next Synonym	Search Length
	19	.	.	.
20	20	Adams	22	1
21	21	Bates	23	1
20	22	Cole	25	2
21	23	Dean	-1	2
24	24	Evans	-1	1
20	25	Flint	-1	3
	26		.	.

5. Explain how Extendable hashing works.

- ✓ It combines conventional hashing with another retrieval approach called the trie.
  - ✓ Tries are also sometimes referred to as radix searching.
- In Tries:
- ✓ branching factor of the search tree = the number of alternative symbols that can occur in each position of the key
  - ✓ Suppose we want to build a trie that stores the keys able, abrahms, adams, anderson, andrews, and Baird.
  - ✓ A schematic form of the trie is shown in Fig.
  - ✓ The use-more-as-we-need-more capability is fundamental to the structure of extendable hashing.



10

CO3

L2

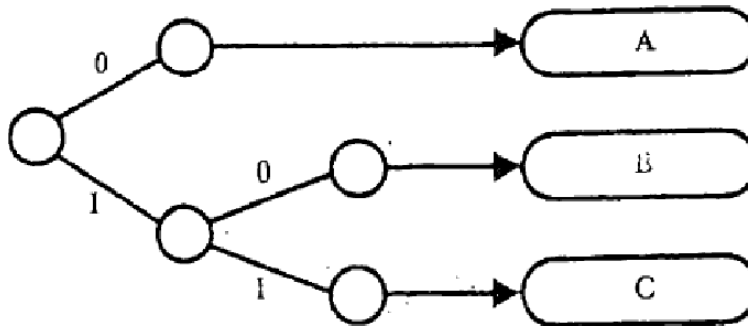
✓ Turning the Trie into a Directory

- ✓ Tries are used with a radix of 2 in our approach to extendible hashing:
- ✓ Search decisions are made on a bit-by-bit basis.
- ✓ Here Tries work in terms of buckets containing keys.

Suppose we have:

- ✓ Bucket A containing keys that, when hashed, have hash addresses that begin with the bits 01.
- ✓ Bucket B contains keys with hash addresses beginning with 10 and
- ✓ Bucket C contains keys with addresses that start with 11.

Figure shows a trie that allows us to retrieve these.



6.a With a neat sketch, discuss simple prefix B+ Trees and its maintenance

5 CO3 L2

- ✓ Figure shows how separators are used to form B-tree index of the sequence set blocks.
- ✓ The B-tree index is called the index set.
- ✓ With the sequence set, it forms a file structure called a simple prefix B+ tree.
- ✓ A node containing N separators branches to N+ 1 children.
- ✓ Simple Prefix- index set contains shortest Separators.
- ✓ Suppose search for record with KEY= EMBRY

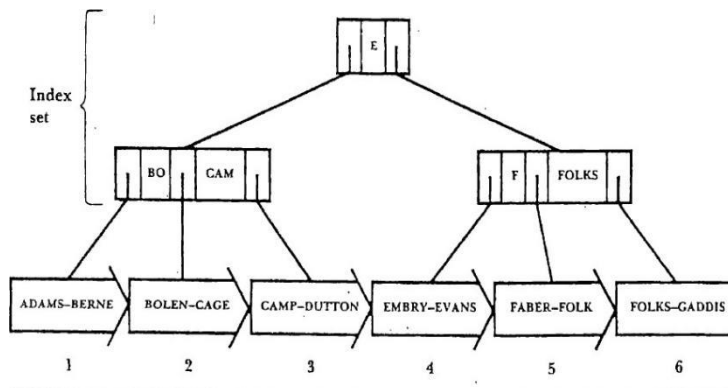
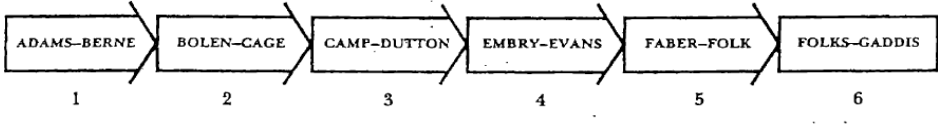


Figure 10.7. A B-tree index set for the sequence set, forming a simple prefix B+ tree.

	<ul style="list-style-type: none"> <li>➤ Record insertion and deletion always take place in the sequence set.</li> <li>➤ If splitting, merging, or redistribution is necessary:             <ul style="list-style-type: none"> <li>✓ Perform the operation as if there were no index set at all.</li> <li>✓ If necessary, then make changes in the index set:                 <ul style="list-style-type: none"> <li>✓ If blocks are split in the sequence set, a new separator must be inserted into the index set;</li> <li>✓ If blocks are merged in the sequence set, a separator must be removed from the index set; and</li> <li>✓ If records are redistributed between blocks in the sequence set, the value of a separator must be changed.</li> </ul> </li> </ul> </li> </ul>																	
6.b	<p>Give the structure of Indexed sequential access</p> <ul style="list-style-type: none"> <li>✓ Indexed sequential file structures provide a choice between 2 alternative views of a file:             <ul style="list-style-type: none"> <li>✓ Indexed: The File can be seen as a set of records that is indexed by key</li> <li>✓ Sequential: The file can be accessed sequentially, returning records in order by key</li> <li>✓ B tree structure provides excellent indexed access to any individual record by key, even as records are added and deleted.</li> </ul> </li> </ul> <p>Consider each block contains range of records.</p> <ul style="list-style-type: none"> <li>✓ If we are looking for a record with the key BURNS, retrieve &amp; inspect the 2nd block.</li> </ul> <div style="text-align: center; margin: 10px 0;">  </div> <p><b>Figure 10.2</b> Sequence of blocks showing the range of keys in each block.</p> <ul style="list-style-type: none"> <li>✓ It is easy to construct a simple, single-level index for these blocks.</li> </ul> <p>for example, to build an index of fixed length records that contain the key for the last record in each block, as shown in Fig.</p> <table border="1" style="margin: 10px auto; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Key</th> <th style="text-align: left;">Block number</th> </tr> </thead> <tbody> <tr><td>BERNE</td><td>1</td></tr> <tr><td>CAGE</td><td>2</td></tr> <tr><td>DUTTON</td><td>3</td></tr> <tr><td>EVANS</td><td>4</td></tr> <tr><td>FOLK</td><td>5</td></tr> <tr><td>GADDIS</td><td>6</td></tr> </tbody> </table> <p><b>Figure 10.3</b> Simple index for the sequence set illustrated in Fig. 10.2.</p> <ul style="list-style-type: none"> <li>✓ The combination of this kind of index with the sequence set of blocks provide <b>complete Indexed sequential access</b>.</li> </ul>	Key	Block number	BERNE	1	CAGE	2	DUTTON	3	EVANS	4	FOLK	5	GADDIS	6	5	CO3	L2
Key	Block number																	
BERNE	1																	
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